

p -adic approach to differential equations

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1 p -adic Frobenius structure for ordinary differential equations

1.1 Equivalence of differential systems

Let $K \supset \mathbb{C}(t)$ be a differential field. That is, a field with the derivation $\frac{d}{dt} : K \rightarrow K$ which extends the usual derivation on the field of rational functions $\mathbb{C}(t)$. Take two matrices $A, B \in \mathbb{C}(t)^{n \times n}$ and consider linear differential systems

$$(I) \quad \frac{dU}{dt} = AU \quad \text{and} \quad (II) \quad \frac{dV}{dt} = BV.$$

Definition 1. We say that (II) is equivalent to (I) over K if there exists a matrix $H \in GL_n(K)$ such that

$$\frac{dH}{dt} = AH - HB. \quad (1)$$

Notation: (2) \sim^K (1).

Note that this relation is symmetric because H^{-1} will satisfy (1) with the roles of A and B interchanged. We first make formal algebraic observations about the meaning of this differential equation:

- (i) If V is a vector solution to (2) with entries in a possibly bigger field, then $U = HV$ is a vector solution to (1):

$$\frac{dU}{dt} = \frac{d}{dt}(HV) = (AH - HB)V + HBV = AHV = AU.$$

- (ii) If U, V are fundamental matrices of solutions to (1) and (2) respectively, then $\Lambda = U^{-1}HV$ is a constant matrix:

$$\frac{d}{dt}(U^{-1}HV) = (-U^{-1}A)HV + U^{-1}(AH - HB)V + U^{-1}HBV = 0.$$

Example 2 (local study / Fuchsian theory of regular singularities). Let $A \in \mathbb{C}(t)^{n \times n}$ with no pole at $t = 0$. Let \mathcal{O} be the ring of germs of holomorphic functions near $t = 0$ and $K = \mathcal{O}[t^{-1}]$ be the field of germs of meromorphic functions. Then there exists a constant matrix $\Gamma \in \mathbb{C}^{n \times n}$ such that

$$\frac{dU}{dt} = \frac{A(t)}{t}U \quad \sim^K \quad \frac{dV}{dt} = \frac{\Gamma}{t}V.$$

Differential systems of this kind are either regular or regular singular at $t = 0$, which means that their solutions have moderate growth on approach to this point (see [8, Theorem 1.3.1]). Note that $V = t^\Gamma$ is a fundamental solution matrix of the second system and $M_0 = \exp(2\pi i\Gamma)$ is its monodromy around $t = 0$. Since elements of K are single-valued at $t = 0$ (have trivial monodromy), local monodromy matrices of two equivalent systems are conjugate by an element of $GL_n(\mathbb{C})$. Two such systems of this kind (regular or regular singular) are equivalent over K if and only if their local monodromy matrices M_0 are conjugate by an element of $GL_n(\mathbb{C})$ ([8, Corollary 1.3.2]).

1.2 p -adic analytic elements

Let p be a prime number. The Gauss norm on $\mathbb{Q}[t]$ is defined as

$$|a_0 + a_1t + \dots + a_nt^n|_{\mathcal{G}} = \max_{0 \leq i \leq n} |a_i|_p.$$

It satisfies the properties

- $|f + g|_{\mathcal{G}} \leq \max(|f|_{\mathcal{G}}, |g|_{\mathcal{G}})$ (non-Archimedean triangle inequality);
- $|f \cdot g|_{\mathcal{G}} = |f|_{\mathcal{G}} \cdot |g|_{\mathcal{G}}$ (Gauss' lemma).

This non-Archimedean norm extends uniquely to the field of rational functions $\mathbb{Q}(t)$ preserving the properties (i)-(ii). In particular, for a ratio of two polynomials one has

$$\left| \frac{\sum_i a_i t^i}{\sum_j b_j t^j} \right|_{\mathcal{G}} = \frac{\max_i |a_i|_p}{\max_j |b_j|_p}.$$

With the Gauss norm $\mathbb{Q}(t)$ becomes an incomplete discretely valued field.

Definition 3. The field of p -adic analytic elements E_p is the completion of $\mathbb{Q}(t)$ with respect to the Gauss norm.

Elements of E_p are p -adic limits of rational functions. One class of examples is given by series $\sum_{n=0}^{\infty} a_n t^n$ with $|a_n|_p \rightarrow 0$ as $n \rightarrow \infty$. We will encounter more sophisticated examples below.

Proposition 4. *The following operations on $\mathbb{Q}(t)$ are continuous with respect to the Gauss norm:*

(i) *Frobenius endomorphism $f(t) \mapsto f(t^p)$,*

(ii) *derivation $\frac{d}{dt}$.*

Proof. Property $|f(t^p)|_{\mathcal{G}} = |f(t)|_{\mathcal{G}}$ follows immediately from the definition of the Gauss norm. For (ii) we note that for $f = \sum_i a_i t^i \in \mathbb{Q}[t]$ one has $|f'|_{\mathcal{G}} = \max_i |i a_i|_p \leq \max_i |a_i|_p = |f|_{\mathcal{G}}$. With this we can make the conclusion for the ratio of two polynomials:

$$\left| \frac{d}{dt} \left(\frac{f}{g} \right) \right|_{\mathcal{G}} = \left| \frac{f'g - g'f}{g^2} \right|_{\mathcal{G}} = \frac{|f'g - g'f|_{\mathcal{G}}}{|g|_{\mathcal{G}}^2} \leq \frac{\max(|f'g|_{\mathcal{G}}, |g'f|_{\mathcal{G}})}{|g|_{\mathcal{G}}^2} \leq \frac{|f|_{\mathcal{G}} \cdot |g|_{\mathcal{G}}}{|g|_{\mathcal{G}}^2} = \left| \frac{f}{g} \right|_{\mathcal{G}}.$$

□

Hence we can conclude that both Frobenius endomorphism and derivation extend to the field E_p .

1.3 p -adic Frobenius structure

Let $A \in \mathbb{Q}(t)^{n \times n}$. Observe that if $U(t)$ is a solution to $\frac{dU}{dt} = AU$ then $V(t) = U(t^{p^h})$ is a solution of $\frac{dV}{dt} = p^h t^{p^h-1} A(t^{p^h})V$.

Definition 5. *A p -adic Frobenius structure of period h for the differential system $\frac{dU}{dt} = AU$ is a matrix $\Phi \in GL_n(E_p)$ satisfying the differential equation*

$$\frac{d\Phi(t)}{dt} = A(t)\Phi(t) - p^h t^{p^h-1} \Phi(t)A(t^{p^h}). \quad (2)$$

When $h = 1$ we simply call Φ a p -adic Frobenius structure

Example 6. *Consider $\frac{dU}{dt} = \frac{1}{2} \frac{1}{1-t} U$. The unique solution is given by $U(t) = \frac{1}{\sqrt{1-t}}$. In the view of property (ii) from § 1.1, existence of a p -adic Frobenius structure for a system of rank 1 is equivalent to the fact that $\Phi(t) = U(t)/U(t^p)$ is a p -adic analytic element. Let us check that this is indeed the case for our system when $p \neq 2$. We first perform a formal computation:*

$$\begin{aligned} \frac{U(t)}{U(t^p)} &= \sqrt{\frac{1-t^p}{1-t}} = (1-t)^{\frac{p-1}{2}} \sqrt{\frac{1-t^p}{(1-t)^p}} = (1-t)^{\frac{p-1}{2}} \left(1 + \frac{p g(t)}{(1-t)^p} \right)^{1/2} \quad \text{with } g(t) = \frac{1-t^p - (1-t)^p}{p} \\ &= (1-t)^{\frac{p-1}{2}} \sum_{k=0}^{\infty} \binom{1/2}{k} p^k \frac{g(t)^k}{(1-t)^{pk}}. \end{aligned}$$

Here for $k \geq 2$ we have

$$\begin{aligned} \binom{1/2}{k} &= \frac{(1/2)(1/2-1)\dots(1/2-(k-1))}{k!} = (-1)^{k-1} \frac{1 \cdot 3 \cdot \dots \cdot (2k-3)}{2^k k!} \\ &= (-1)^{k-1} \frac{(2k-3)!}{2^{2k-2} k! (k-2)!} = (-1)^{k-1} \frac{1}{2^{2k-2} (2k-2)} \binom{2k-2}{k}, \end{aligned}$$

from which it clearly follows that the p -adic valuation of $\binom{1/2}{k} p^k$ grows infinitely as $k \rightarrow \infty$. Thus the partial sums of the series representation we computed above will give a Cauchy sequence with respect to the Gauss norm. Its limit will represent $\Phi(t) = U(t)/U(t^p)$ as an element of E_p .

Now we would like to mention several facts about p -adic convergence of solutions of differential systems. The reader may prove the following proposition as an exercise, or consult [19] where this fact is proved in a more general context.

Proposition 7 (p -adic Cauchy theorem, Élisabeth Lutz). *Suppose the entries of $A \in \mathbb{Q}_p[[t]]^{n \times n}$ have a positive radius of p -adic convergence. Then there exists an invertible matrix $U \in GL_n(\mathbb{Q}_p[[t]])$ such that $\frac{dU}{dt} = AU$. This matrix is unique up to multiplication from the right by constant invertible matrices $C \in GL_n(\mathbb{Q}_p)$ and entries of U have a positive radius of p -adic convergence.*

Existence of a p -adic Frobenius structure implies that the radius of p -adic convergence of solutions is at least 1:

Theorem 8 (Dwork). *If $A \in \mathbb{Q}(t)^{n \times n}$ has no poles in the p -adic disk $|t|_p < 1$ and has a Frobenius structure, then the fundamental matrix of solutions to $\frac{dU}{dt} = AU$, $U \in \mathbb{Q}_p[[t]]^{n \times n}$, also converges for $|t|_p < 1$.*

Here is a negative example: the rank 1 differential system $\frac{dU}{dt} = U$ has no p -adic Frobenius structure for any prime p . This fact follows from the above theorem because the solution $U(t) = \exp(t)$ has radius of p -adic convergence $p^{-\frac{1}{p-1}} < 1$. One can also give a direct argument, not involving Dwork's theorem. Instead, demonstrate that $\exp(t - t^p)$ is not a p -adic analytic element. See exercise X below.

In the situation of Proposition 7 we can conclude from (ii) of § 1.1 that the differential equation (2) defining the Frobenius structure has n^2 -dimensional \mathbb{Q}_p -vector space of solutions $\Phi \in \mathbb{Q}_p[[t]]^{n \times n}$ given by $\Phi(t) = U(t)\Lambda U(t^p)^{-1}$ with any $\Lambda \in \mathbb{Q}_p^{n \times n}$. Their entries have a positive radius of p -adic convergence, and we can ask for which Λ we actually get entries in E_p . The following theorem tells us that if such Λ exists it is unique up to a scalar multiple.

Theorem 9 (Dwork, [14]). *Let $A \in \mathbb{Q}(t)^{n \times n}$ and suppose that the differential system $\frac{dU}{dt} = AU$ satisfies the following properties:*

- all its singularities are regular,
- all local exponents are in $\mathbb{Q} \cap \mathbb{Z}_p$,
- the difference of any two singularities has p -adic valuation 0,
- it is irreducible over $\mathbb{Q}_p(t)$.

Then if a p -adic Frobenius structure exists, it is unique up to multiplication by a non-zero constant.

In these lectures, we will discuss the existence of a p -adic Frobenius structure and its arithmetic consequences.

1.4 The case of differential equations

For a monic linear differential operator

$$L = \left(\frac{d}{dt}\right)^n + a_1(t)\left(\frac{d}{dt}\right)^{n-1} + \dots + a_n(t) \in \mathbb{Q}(t)\left[\frac{d}{dt}\right]$$

its *companion matrix* is defined as

$$A(t) = \begin{pmatrix} 0 & 1 & 0 & \dots \\ 0 & 0 & 1 & \dots \\ & \vdots & & \dots \\ -a_n & -a_{n-1} & & \end{pmatrix}.$$

Then vector solutions to the differential system $\frac{dU}{dt} = AU$ are precisely of the form

$$U(t) = (y(t), y'(t), \dots, y^{(n-1)}(t))^T,$$

where $y(t)$ is a solution to $Ly = 0$. We would like to consider the cases when L is regular at $t = 0$ (so all $a_i(t)$ are analytic at $t = 0$) or $t = 0$ is a regular singularity (which happens when for each i the coefficient $a_i(t)$ has a pole of order at most i at $t = 0$, see [7]). Both for the analysis of singularity at $t = 0$ and for describing the Frobenius structure near this point it is convenient to rewrite the differential equation in terms of the derivation $\theta = t\frac{d}{dt}$. Multiplying our operator on the left by t^n and using formula $t^i(d/dt)^i = \theta(\theta + 1) \dots (\theta + i - 1)$ we may assume that

$$L = \theta^n + b_1(t)\theta^{n-1} + \dots + b_n(t)$$

with all b_i analytic at $t = 0$. Recall that local exponents at $t = 0$ are the roots of the indicial polynomial $X^n + b_1(0)X^{n-1} + \dots + b_{n-1}(0)X + b_n$. The companion matrix will be

$$B(t) = \begin{pmatrix} 0 & 1 & 0 & \dots \\ 0 & 0 & 1 & \dots \\ & & \vdots & \dots \\ -b_n & -b_{n-1} & & \end{pmatrix}$$

and solutions to $\theta U = BU$ are of the form $U(t) = (y, \theta y, \dots, \theta^{n-1}y)^T$. Denote $q = p^h$ and consider the operator

$$L^{(q)} = \sum_{i=0}^n b_i(t^q) q^i \theta^{n-i}.$$

Since $\theta^i(y(t^q)) = q^i(\theta^i y)(t^q)$, this is the operator whose solutions are given by $y(t^q)$ where $y(t)$ is a solution to L . Note that $L^{(q)}$ has regular singularity at $t = 0$ with indicial polynomial $\sum_{i=0}^n b_i(0) q^i X^{n-i}$, and hence its local exponents are q -multiples of the local exponents of L . The equation for the Frobenius structure of period h now transforms into

$$\theta \Phi(t) = B(t) \Phi(t) - q \Phi(t) B(t^q). \quad (3)$$

Assume that the local exponents of L at $t = 0$ are rational and let d be the least common multiple of their denominators. We denote by

$$\text{Sol}(L) \subset \mathbb{Q}_p[[t]][t^{-1/d}, \log(t)]$$

the n -dimensional \mathbb{Q}_p -vector space generated by solutions of L . Similarly, we have the \mathbb{Q}_p -vector subspace $\text{Sol}(L^{(q)})$ and the isomorphism $\text{Sol}(L) \rightarrow \text{Sol}(L^{(q)})$ given by $t \mapsto t^p$ and $\log(t) \mapsto p \log(t)$.

Proposition 10. *Let L be a differential operator of order n and $t = 0$ is a regular singularity of L with rational local exponents. The following conditions are equivalent:*

- (i) *There exists a solution Φ to (3) with entries $\Phi_{ij} \in E_p \cap \mathbb{Q}_p[[t]]$.*
- (ii) *There exists an invertible linear map $\mathcal{A} : \text{Sol}(L^{(q)}) \rightarrow \text{Sol}(L)$ given by a differential operator $\mathcal{A} = \sum_{i=0}^{n-1} A_i(t) \theta^i$ with coefficients $A_i \in E_p \cap \mathbb{Q}_p[[t]]$.*

Proof. (i) \Rightarrow (ii) Let y be a solution to $L(y) = 0$ and $U(t) = (y, \theta y, \dots, \theta^{n-1}y)^T$. Then $\Phi(t)U(t^q) = (\tilde{y}, \theta \tilde{y}, \dots, \theta^{n-1} \tilde{y})^T$ for some solution $\tilde{y} \in \text{Sol}(L)$. Here

$$\tilde{y}(t) = \sum_{j=0}^{n-1} \Phi_{oj}(t) (\theta^j y)(t^q) = \sum_{j=0}^{n-1} \Phi_{oj}(t) q^{-j} \theta^j (y(t^q)),$$

and hence we obtain a differential operator between the spaces of solutions

$$\mathcal{A} = \sum_{j=0}^{n-1} q^{-j} \Phi_{0,j} \theta^j : \text{Sol}(L^{(q)}) \rightarrow \text{Sol}(L).$$

To show that this operator is invertible we choose any basis $y_0, \dots, y_{n-1} \in \text{Sol}(L)$. Then $y_i(t^p)$, $0 \leq i \leq n-1$ is a basis in $\text{Sol}(L^{(q)})$. Let $U = (\theta^i y_j)_{0 \leq i, j \leq n-1}$ and let $\tilde{U} = (\theta^i \tilde{y}_j)_{0 \leq i, j \leq n-1}$ be a similar Wronskian matrix for the images $\tilde{y}_i = \mathcal{A}(y_i(t^q))$. Since $\tilde{U}(t) = \Phi(t)U(t^q)$, we obtain that the Wronskian determinant of the images is non-zero

$$W(\tilde{y}_0, \dots, \tilde{y}_{n-1}) = \det(\tilde{U}) = \det \Phi \cdot \det U \neq 0,$$

and hence these solutions are linearly independent.

(ii) \Rightarrow (i) Let $\mathcal{A} = \sum_{j=0}^{n-1} A_j(t) \theta^j$ be an invertible linear map between the spaces of solutions. For $0 \leq i \leq n-1$ we consider the remainder from right-division of $\theta^i \mathcal{A}$ by $L^{(q)}$ in the algebra $(E_p \cap \mathbb{Q}_p[[t]])[\theta]$:

$$\theta^i \mathcal{A} = \sum_{j=0}^{n-1} A_{ij}(t) \theta^j + \mathcal{B}_i \cdot L^{(q)}. \quad (4)$$

Consider matrix Φ with entries $\Phi_{ij} = q^j A_{ij} \in E_p \cap \mathbb{Q}_p[[t]]$. Let y_0, \dots, y_{n-1} be a basis in $Sol(L)$. Consider the constant matrix $\Lambda \in GL_n(\mathbb{Q}_p)$ given by

$$\mathcal{A}(y_j(t^q)) = \sum_{k=0}^{n-1} y_k(t) \lambda_{kj}.$$

Applying θ^i to this identity we find that

$$\sum_{m=0}^{n-1} A_{im}(t) q^m (\theta^m y_j)(t^q) = \sum_{k=0}^{n-1} (\theta^i y_k)(t) \lambda_{kj} \quad \Leftrightarrow \quad \Phi(t) U(t^q) = U(t) \Lambda.$$

We obtain that $\Phi(t) = U(t) \Lambda U(t^q)^{-1}$ and therefore it is invertible and satisfies the differential equation (3). \square

We would like to note that the entries of Φ and \mathcal{A} in the above proposition were assumed analytic at $t = 0$ in order to have the possibility of multiplication with elements of $Sol(L)$. We could have also assumed that the entries of Φ and \mathcal{A} have a pole of finite order at $t = 0$, that is belong to $E_p \cap \mathbb{Q}_p((t))$.

Remark 11. *In the situation of Proposition 10 the product $L \circ \mathcal{A}$ is right-divisible by $L^{(q)}$ in the algebra $(E_p \cap \mathbb{Q}_p[[t]])[\theta]$. Indeed, let $B = \sum_{i=0}^{n-1} b_i(t) \theta^i$ be the remainder from division of $-\theta^n \mathcal{A}$ by $L^{(q)}$ on the right. Put $B = (b_i(t)) \in (\mathbb{Q}_p[[t]] \cap E_p)^n$ and consider the vector $C = (A^T)^{-1} B$ where $A = (A_{ij})$ is the matrix defined in (4). Its coordinates satisfy $\sum_{i=0}^{n-1} c_i(t) A_{ij}(t) = b_j(t)$ and therefore*

$$\left(\theta^n + \sum_{i=0}^{n-1} c_i(t) \theta^i \right) \circ \mathcal{A}$$

is right-divisible by $L^{(q)}$. Since $\mathcal{A} : Sol(L^{(q)}) \rightarrow Sol(L)$ is invertible, we can conclude that the operator $\tilde{L} = \theta^n + \sum_{i=0}^{n-1} c_i(t) \theta^i$ annihilates all solutions of L . As L and \tilde{L} are monic of the same order, they must be equal. Thus we obtain that $L \circ \mathcal{A} = \tilde{L} \circ \mathcal{A}$ is right-divisible by $L^{(q)}$.

1.5 Existence of Frobenius structure for rigid differential systems

Consider a differential operator

$$L = a_0(t) \left(\frac{d}{dt} \right)^n + a_1(t) \left(\frac{d}{dt} \right)^{n-1} + \dots + a_{n-1}(t) \frac{d}{dt} + a_n(t)$$

with $a_i \in \mathbb{Q}[t]$ and $a_0 \neq 0$. Let $S = \{t_1, \dots, t_n\} \subset \mathbb{P}^1(\mathbb{C})$ be the singularities of L . This set consists of the roots of $a_0(t)$ and possibly the point at infinity. Let $t_0 \in \mathbb{P}^1(\mathbb{C}) \setminus S$ be a regular point and V be the n -dimensional \mathbb{C} -vector space of solutions of L near t_0 . Consider the monodromy representation

$$\rho : \pi_1(\mathbb{P}^1(\mathbb{C}) \setminus S, t_0) \rightarrow GL(V)$$

and assume that it is irreducible. Let $\gamma_1, \dots, \gamma_n \in \pi_1(\mathbb{P}^1(\mathbb{C}) \setminus S, t_0)$ be simple loops around t_1, \dots, t_n satisfying the relation $\gamma_1 \cdot \dots \cdot \gamma_n = I$. Then linear transformations $M_i = \rho(\gamma_i)$ (local monodromies) also satisfy $M_1 \cdot \dots \cdot M_n = I$. An irreducible tuple M_1, \dots, M_n satisfying the relation $M_1 \cdot \dots \cdot M_n = I$ is called *rigid* if for any tuple $\tilde{M}_1, \dots, \tilde{M}_n$ such that $\tilde{M}_i = U_i \tilde{M}_i U_i^{-1}$ for all i with some $U_i \in GL(V)$ and $\tilde{M}_1 \cdot \dots \cdot \tilde{M}_n = I$, there exists a matrix $U \in GL(V)$ such that $M_i = U \tilde{M}_i U^{-1}$ for all i simultaneously. If this condition holds for our tuple of monodromy operators $M_i = \rho(\gamma_i)$ then we say that the *monodromy of L is rigid*.

Let us recall a criterion of rigidity:

Theorem 12 (Katz, [18]). *Let $M_1, \dots, M_r \in GL_n(\mathbb{C})$ be an irreducible tuple satisfying the relation $M_1 \cdot \dots \cdot M_r = I$. Denote $\delta_i = \text{codim}_{\mathbb{C}}\{A \in M_n(\mathbb{C}) : AM_i = M_i A\}$. Then*

$$(i) \quad \delta_1 + \dots + \delta_r \geq 2(n^2 - 1),$$

(i) the tuple is rigid if and only if $\delta_1 + \dots + \delta_r = 2(n^2 - 1)$.

We now state the theorem on the existence of p -adic Frobenius operators for rigid Fuchsian operators.

Theorem 13 (Vargas-Montoya, [22]). *Let $L \in \mathbb{Q}(t)[d/dt]$ and suppose that*

- (i) L is Fuchsian,
- (ii) exponents of L are rational numbers,
- (iii) the monodromy of L is rigid.

Then there exist an integer $h > 0$ such that L has a p -adic Frobenius structure of period h for almost all primes p .

Remark 14. (i) *The construction of the integer $h > 0$ and the set of primes numbers p such that L has a p -adic Frobenius structure are also given in [22, Theorem 3.8].*

(ii) *Dwork conjectured in [13] that (i) and (ii) are sufficient for L to have a p -adic Frobenius structure for almost all primes p .*

Results similar to Theorem 13 were also obtained by Crew and Esnault-Groechenig circa 2017. One of the advantages of Daniel's approach is that h and the set of bad primes are determined explicitly. Namely, let d be the least common multiple of denominators of all local exponents of L and $P(t)$ be the least common multiple of denominators of the rational coefficients $a_1(t), \dots, a_n(t)$. Then $h = h_1 h_2$ with $h_1 = \phi(d)$ and h_2 is the dimension of the splitting field of $P(t)$ over \mathbb{Q} . Operator L then has a p -adic Frobenius structure of period h for every prime p for which

- all local exponents are p -integral ($\Leftrightarrow p \nmid d$)
- $|a_i(t)|_{\mathcal{G}} \leq 1$ for $i = 1, \dots, n$
- the difference of any two singularities has p -adic valuation 0

The reference for this is [22, Theorem ?].

2 Exercises

2.1 Amice ring

For every prime number p , the Amice ring is defined as follows

$$\mathcal{A}_p = \left\{ \sum_{n \in \mathbb{Z}} a_n t^n : a_n \in \mathbb{Q}_p, \lim_{n \rightarrow -\infty} |a_n|_p = 0 \text{ and } \sup_{n \in \mathbb{Z}} |a_n|_p < \infty \right\}.$$

For every $f = \sum_{n \in \mathbb{Z}} a_n t^n$, we set

$$|f|_{\mathcal{G}} = \sup_{n \in \mathbb{Z}} |a_n|_p.$$

- (i) Prove that $|\cdot|_{\mathcal{G}}$ is a norm. This norm is called the Gauss norm.
- (ii) Prove that \mathcal{A}_p is complete with respect to the Gauss norm.
- (iii) Prove that $\mathbb{Q}(t) \subset \mathcal{A}_p$ and show that

$$\left| \frac{\sum_i a_i t^i}{\sum_j b_j t^j} \right|_{\mathcal{G}} = \frac{\max_i |a_i|_p}{\max_j |b_j|_p}.$$

Conclude that $E_p \subset \mathcal{A}_p$, where E_p is the p -adic closure of $\mathbb{Q}(t)$ called the field of p -adic analytic elements.

(iv) Show that \mathcal{A}_p is a field.

Remark: Usually, the Amice ring is defined with coefficients in \mathbb{C}_p , in which case it is not true that every non-zero element is invertible. It is essential for this exercise that the Gauss norm is discretely valued on \mathcal{A}_p .

(v) Show that if $f = \sum_{n \geq 0} a_n z^n \in \mathcal{A}_p$ has radius of convergence greater than 1 then $f \in E_p$.

2.2 Hypergeometric Frobenius structures

A generalized hypergeometric differential operator of order $n \geq 1$ is given by

$$L = (\theta + \beta_1 - 1)(\theta + \beta_2 - 1) \dots (\theta + \beta_n - 1) - t(\theta - \alpha_1) \dots (\theta - \alpha_n), \quad \theta = t \frac{d}{dt}$$

with some complex numbers $\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n$. This is a Fuchsian operator with singularities at $0, 1, \infty$. The local exponents read

$$\begin{aligned} 1 - \beta_1, \dots, 1 - \beta_n & \quad \text{at } t = 0, \\ \alpha_1, \dots, \alpha_n & \quad \text{at } t = \infty, \\ 1, 2, \dots, n - 1, -1 + \sum_{i=1}^n (\beta_i - \alpha_i) & \quad \text{at } t = 1. \end{aligned}$$

The monodromy representation of L is known to be irreducible if and only if $\alpha_i - \beta_j \notin \mathbb{Z}$ for all i, j . In his thesis in 1961 Levelt gave a beautiful explicit proof of rigidity of monodromy groups of irreducible hypergeometric monodromy operators (see [6, §1.2]).

- (i) Check that an irreducible hypergeometric differential equation satisfies Katz' criterion of rigidity given in Theorem 12.
- (ii) Suppose that $\alpha_i, \beta_j \in \mathbb{Q}$ and $\alpha_i - \beta_j \notin \mathbb{Z}$ for all i, j . Then the hypergeometric operator L satisfies the conditions of Theorem 13. Compute the order of this Frobenius structure and the set of primes for which it exists using the recipe given after Theorem 13.

2.3 p -adic analytic continuation

Let us consider the hypergeometric series

$$f(t) = {}_2F_1(1/2, 1/2, 1; t) = \sum_{n \geq 0} \frac{(1/2)_n^2}{n!^2} t^n.$$

Dwork has shown in his "p-adic cycles" paper that, for all $p > 2$, the quotient $f(t)/f(t^p)$ belongs to E_p . More precisely, he showed that for all $p > 2$ and $s \geq 1$

$$\frac{f(t)}{f(t^p)} = \frac{f_s(t)}{f_{s-1}(t^p)} \pmod{p^s} \quad \text{with} \quad f_s(t) = \sum_{n=0}^{p^s-1} \frac{(1/2)_n^2}{n!^2} t^n.$$

- (i) Show that the p -adic radius of convergence of $f(t)/f(t^p)$ is 1 for any $p > 2$.
- (ii) Consider the region

$$\mathcal{D} = \{y \in \mathbb{Z}_p : |f_1(y)|_p = 1\}$$

and check the following facts:

- (a) $\{y \in \mathbb{Z}_p : |y| < 1\} \subset \mathcal{D}$, and if $y \in \mathcal{D}$ then $y^p \in \mathcal{D}$;
- (b) for every $s \geq 0$ one has $|f_s(y)|_p = 1$ when $y \in \mathcal{D}$;

- (c) the sequence of rational functions $f_s(y)/f_{s-1}(y^p)$ converges uniformly in \mathcal{D} , and if we denote the limiting analytic function by $\omega(y) = \lim_{s \rightarrow \infty} f_s(y)/f_{s-1}(y^p)$ then for all $s \geq 1$

$$\sup_{y \in \mathcal{D}} \left| \omega(y) - \frac{f_s(y)}{f_{s-1}(y^p)} \right| \leq \frac{1}{p^s};$$

- (d) $f(t)/f(t^p)$ is the restriction of $\omega(t)$ to $\{y \in \mathbb{Z}_p : |y|_p < 1\}$.

Remark: The above procedure of analytic continuation allows to evaluate $\omega(y)$ at points $y \in \mathbb{Z}_p^\times$ such that $|f(y)|_p = 1$. Dwork also noted that the value $\omega(y_0)$ at a Teichmüller unit $y_0 \in \mathbb{Z}_p^\times$, $y_0^{p-1} = 1$ is equal to the p -adic unit root of the elliptic curve $y^2 = x(x-1)(x-\bar{y}_0)$ where \bar{y}_0 is the reduction of y_0 modulo p . The condition $|f_1(y_0)|_p = 1$ chooses the ordinary elliptic curves in the Legendre family. A vast generalisation of the above Dwork's congruences along with the evaluation of the respective p -adic analytic element is given in "Dwork crystals II" by Beukers-Vlasenko (see Theorem 3.2 and Remark 4.5).

- (iii) Argue that the sequence of rational functions $f_s(t)/f_{s-1}(t^p)$ converges in the Gauss norm, and hence $\omega(t) \in E_p$

2.4 p -adic Frobenius structure for differential equations of rank 1

- (i) Prove that, for any $p > 2$, the differential operator

$$\frac{d}{dt} - \frac{f'(t)}{f(t)}$$

has a p -adic Frobenius structure of period 1. Here f is the hypergeometric function considered in the previous set of exercises.

- (ii) Let $L = d/dt - a(t)$ be a differential operator with $a(t) \in \mathbb{Q}(t)$. Prove that if L has a p -adic Frobenius structure for almost all primes p then $a(t) = f'(t)/f(t)$ with $f(t) \in \mathbb{Q}[[t]]$ algebraic over $\mathbb{Q}(t)$. Is the converse true?

Hint: Use the fact that the Grothendieck-Katz p -curvature conjecture holds for operators of rank 1.

- (iii) Prove that the differential equation $d/dt - 1$ does not have a p -adic Frobenius structure for any p .
- (iv) Let π_p be in $\overline{\mathbb{Q}}$ such that $\pi_p^{p-1} = -p$. Prove that $d/dt - \pi_p$ has a p -adic Frobenius structure.

Remark: A. Pulita in his work *Frobenius structure for rank one p -adic differential equations* gives a characterization of the differential operators of rank 1 having a p -adic Frobenius structure for given p .

3 Algebraicity of G -functions modulo p

Let K be a field and let $f(t)$ be a power series with coefficients in K . We say that $f(t)$ is *algebraic* over $K(t)$ if there exists a nonzero polynomial $P(Y) \in K(t)[Y]$ such that $P(f) = 0$. Otherwise, we say that $f(t)$ is *transcendental* over $K(t)$.

Given a prime number p , $\mathbb{Z}_{(p)}$ is the localization of \mathbb{Z} at prime ideal (p) . In other words,

$$\mathbb{Z}_{(p)} = \left\{ \frac{a}{b} \in \mathbb{Q} \mid (a, b) = 1, (p, b) = 1 \right\}.$$

In particular, the elements of the ring $\mathbb{Z}_{(p)}$ can be reduced modulo p and the residue field of $\mathbb{Z}_{(p)}$ is \mathbb{F}_p , the field with p elements.

For a power series $f(t) = \sum_{n \geq 0} a_n t^n \in \mathbb{Z}_{(p)}[[t]]$, the reduction modulo p of $f(z)$ is the power series

$$f_p(t) = \sum_{n \geq 0} (a_n \bmod p) z^n \in \mathbb{F}_p[[t]].$$

Definition 15 (Algebraicity modulo p). Let $f(t)$ be a power series with coefficients in \mathbb{Q} . We say that $f(t)$ is algebraic modulo p if:

1. we can reduce $f(t)$ modulo p , that is, $f(t)$ belongs to $\mathbb{Z}_{(p)}[[t]]$,
2. the reduction of $f(t)$ modulo p is algebraic over $\mathbb{F}_p(t)$, that is, there exists a nonzero polynomial $P(Y) \in \mathbb{F}_p(t)[Y]$ such that $P(f|_p) = 0$.

If $f(t) \in \mathbb{Q}[[t]]$ is algebraic modulo p , the algebraicity degree of $f|_p(t)$, denoted $\deg(f|_p)$, is the degree of the minimal polynomial of $f|_p(t)$ or equivalent

$$\deg(f|_p) = [\mathbb{F}_p(t)(f|_p) : \mathbb{F}_p(t)].$$

3.1 p -adic Frobenius structure implies algebraicity of solutions modulo p

Theorem 16 (Vargas-Montoya,[22]). Let $L \in \mathbb{Q}(t) \left[\frac{d}{dt} \right]$ be a differential operator of order n and $f(t) \in \mathbb{Q}[[t]]$ be a solution of L . If $f(t) \in \mathbb{Z}_{(p)}[[t]]$ and L has a p -adic Frobenius structure of period h then $f(t)$ is algebraic modulo p and $\deg(f|_p) \leq p^{n^2 h}$.

Proof. Let A be in $M_n(\mathbb{Q}(t))$ the companion matrix of L . Since L has a p -adic Frobenius structure of period h , by Proposition 10, there exists $\mathcal{A} = \sum_{i=0}^{n-1} A_i(t) \left(\frac{d}{dt} \right)^i \in E_p \left[\frac{d}{dt} \right]$ such that, for every solution $y(t)$ of L , the composition $\mathcal{A}(y(t^{p^h}))$ is a solution of L . Consider $V := \{g \in \mathcal{A}_p, Lg = 0\}$. It is clear that V is a \mathbb{Q}_p -vector space. Further, the vector $f(t) \in V$ because $f(t) \in \mathbb{Z}_{(p)}$ and $Lf = 0$. We then put

$$\begin{aligned} \psi : V &\rightarrow V \\ \vec{y} &\mapsto \mathcal{A}(y(t^{p^h})) \end{aligned}$$

So ψ is a \mathbb{Q}_p -linear map. Since $\dim_{\mathbb{Q}_p} V = r \leq n$, from Cayley-Hamilton theorem we get that there are $c_0, \dots, c_{r-1} \in \mathbb{Q}_p$ such that

$$\psi^r + c_{r-1}\psi^{r-1} + \dots + c_1\psi + c_0 = 0. \quad (5)$$

Let Z be the E_p vector space generated by the elements of the following set $\{f^{(j)}(t^{p^{jh}}) : j \in \{0, \dots, n-1\}, i \in \mathbb{N}\}$. From the equality (5) we conclude that Z has dimension less or equals than nr . Since $f(z), \dots, f(z^{p^{nrh}}) \in Z$, there are $j \leq nr$ and $b_0, \dots, b_j \in E_p$ such that

$$b_j(t)f(t^{p^{jh}}) + b_{j-1}(t)f(t^{p^{(j-1)h}}) + \dots + b_0(t)f(t) = 0.$$

Let $b_l(t)$ such that $|b_l(t)| = \max\{|b_0(t)|, \dots, |b_j(t)|\}$ and define $c_i(t) = b_i(t)/b_l(t)$. Then, for all $i \in \{0, \dots, j\}$, $|c_i| \leq 1$ and

$$c_j(t)f(t^{p^{jh}}) + c_{j-1}(t)f(t^{p^{(j-1)h}}) + \dots + c_0(t)f(t) = 0.$$

We set $d_i(t) = \overline{c_i(t)}$, where $\overline{c_i(t)}$ is the reduction of $c_i(t)$ modulo the maximal ideal of ϑ_{E_p} . Then, for all $i \in \{1, \dots, j\}$, $d_i(t) \in \mathbb{F}_p(z)$,

$$d_j(t)(f|_p(t^{p^{jh}})) + d_{j-1}(t)(f|_p(t)^{p^{(j-1)h}}) + \dots + d_0(t)f|_p(t) = 0 \quad (6)$$

and $d_0(t), \dots, d_j(t)$ are not all zero because $1 = \max\{|c_0(t)|, \dots, |c_j(t)|\}$. As $j \leq nr \leq n^2$ and \mathbb{F}_p has characteristic p , from (6) one gets that $f|_p(t)$ is algebraic over $\mathbb{F}_p(t)$ and that $\deg(f|_p) \leq p^{n^2 h}$. \square

We now introduce the following sets

$$\mathbf{Algmod} = \{f(t) \in \mathbb{Q}[[t]] \mid f \text{ is algebraic modulo } p \text{ for infinitely many primes } p\}$$

$$\mathbf{Fs} = \{f(t) \in \mathbb{Q}[[t]] \mid f(t) \text{ is solution of a differential operator } L \text{ having Fs for almost all primes } p\}$$

$$\mathbf{Fs}^* = \{f(t) \in \mathbb{Q}[[t]] \mid f(t) \in \mathbf{Fs} \text{ and } f(t) \in \mathbb{Z}_{(p)}[[t]] \text{ for infinitely many primes } p\}.$$

As a consequence of Theorem 16, we have

$$\mathbf{Fs}^* \subset \mathbf{Algmod}.$$

As an example let us consider $f_2(t) = \sum_{n \geq 0} \binom{2n}{n}^2 t^n$. This power series is solution of the differential operator

$$\delta^2 - 16z(\delta + 1/2)^2.$$

According to Exercise 2.2, this differential operator has a p -adic Frobenius structure for all $p > 2$ of period 1. Then, from Theorem 16, $f_2(t)$ is algebraic modulo p and $\deg(f_2|_p) \leq p^4$ for all $p > 2$.

Remark 17. *The power series $f_2(t)$ is transcendental over $\mathbb{Q}(t)$. Nevertheless, $f_2(t)$ is algebraic over $\mathbb{F}_p(t)$ for all $p > 2$.*

The following inclusion will be proven in Theorem 26

$$\mathbf{Fs} \subset \mathbf{G-functions},$$

where **G-functions** is the class of G -functions introduced by Siegel in 1929. In addition, a famous conjecture due to Bombieri and Dwork suggests that

$$\mathbf{G-functions} \subset \mathbf{Fs}.$$

Furthermore, Adamczewski and Delaygue recently conjectured that

$$\mathbf{G-functions}^* \subset \mathbf{Algmod},$$

where **G-functions**^{*} is the set of the power series $f(t) \in \mathbb{Q}[[t]]$ that are G -functions and there exists an infinite set S of prime numbers such that, for all $p \in S$, $f(t) \in \mathbb{Z}_{(p)}[[t]]$.

We are going to see that the Adamczewski-Delaygue's conjecture is true for many of G -functions, namely, *diagonals of algebraic power series* and *hypergeometric series* ${}_nF_{n-1}$ with rational parameters.

3.2 G-functions

We say that $f(t) = \sum_{n \geq 0} a_n t^n \in \mathbb{Q}[[t]]$ is a G -functions if:

- (i) there exists a nonzero differential operator $L \in \mathbb{Q}(t) \left[\frac{d}{dt} \right]$ such that $L(f) = 0$,
- (ii) there exists $C > 0$ such that $|a_n| < C^{n+1}$ for all $n \geq 0$,
- (iii) there exists $D > 0$ and a sequence of integers $D_m > 0$ with $D_m \leq D^{m+1}$ such that $D_m a_n \in \mathbb{Z}$ for all $n \leq m$.

The main examples of G -functions are given by *diagonals of algebraic power series* and *hypergeometric series* ${}_nF_{n-1}$ with rational parameters.

3.2.1 Diagonals

Let K be any field. For every integer $n \geq 1$, we define the diagonalisation operator

$$\begin{aligned} \Delta_n : K[[t_1, \dots, t_n]]^{rat} &\rightarrow K[[t]] \\ \sum_{i \in \mathbb{N}^n} a(i_1, \dots, i_n) t_1^{i_1} \cdots t_n^{i_n} &\mapsto \sum_{j \geq 0} a(j, \dots, j) t^j, \end{aligned}$$

where $K[[t_1, \dots, t_n]]^{rat} = K[[t_1, \dots, t_n]] \cap K(t_1, \dots, t_n)$.

Definition 18. *We say that $f(t) \in K[[t]]$ is a diagonal of a rational function if there are an integer $n > 0$ and $F \in K[[t_1, \dots, t_n]]^{rat}$ such that*

$$\Delta_n(F) = f.$$

We put

$$\mathbf{Diag}_K^{rat} = \{f(t) \in K[[t]] \mid f(t) \text{ is a diagonal of a rational function}\}.$$

For example, the power series $f_2(t) = \sum_{n \geq 0} \binom{2n}{n}^2 t^n$ belongs to $\mathbf{Diag}_{\mathbb{Q}}^{rat}$ because $\Delta_4(R(t_1, \dots, t_4)) = f_2(t)$, whit

$$R(t_1, \dots, t_4) = \frac{1}{(1-t_1)(1-t_2)(1-t_3)(1-t_4)} = \sum_{(i_1, i_2, i_3, i_4) \in \mathbb{N}^4} \binom{i_1+i_2}{i_1} \binom{i_3+i_4}{i_3} t_1^{i_1} t_2^{i_2} t_3^{i_3} t_4^{i_4}.$$

The generating power series of Apéry's numbers

$$\mathfrak{A}(t) = \sum_{n \geq 0} \left(\sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \right) t^n$$

is the diagonal of the following rational function

$$\frac{1}{[1-t_1 t_2 t_3 t_4][(1-t_1)(1-t_4) - t_0(1+t_1)(1+t_2)]}.$$

Theorem 19 (Furstenberg [16]). *Let K be a field of characteristic $p > 0$. If $f(t) \in \mathbf{Diag}^{rat}$ then $f(t)$ is algebraic over $K(t)$.*

This result was extended by Deligne to *diagonal of algebraic power series*. We say that $f(t) \in K[[t]]$ is a *diagonal of an algebraic power series* if there are $n > 0$ and $F \in K[[t_1, \dots, t_n]]^{alg}$ such that $\Delta_n(F) = f$, where $K[[t_1, \dots, t_n]]^{alg}$ is the set of power series in $K[[t_1, \dots, t_n]]$ that are algebraic over $K(t_1, \dots, t_n)$. We then put

$$\mathbf{Diag}_K^{alg} = \{f(t) \in K[[t]] \mid f(t) \text{ is a diagonal of an algebraic power series}\}.$$

It is clear that $\mathbf{Diag}^{rat} \subset \mathbf{Diag}^{alg}$.

Theorem 20 (Deligne [11]). *Let K be a field of characteristic $p > 0$. If $f(t) \in \mathbf{Diag}^{alg}$ then $f(t)$ is algebraic over $K(t)$.*

In the following proposition we state some properties of the set \mathbf{Diag}^{alg} .

Proposition 21. *The following statements hold.*

1. For any field K , $\mathbf{Diag}_K^{rat} = \mathbf{Diag}_K^{alg}$.
2. If $f(t) \in \mathbf{Diag}_{\mathbb{Q}}^{rat}$ then $f(t)$ is N -integral, that is, there exists $c \in \mathbb{N} > 0$ such that $f(ct) \in \mathbb{Z}[[t]]$.

Proof. 1. See [12, Theorem 6.2]

2. It is a direct consequence of 1. □

Thanks to Proposition 21, Theorem 19 and Theorem 20 are equivalent.

Theorem 22. *The following inclusions hold:*

1. $\mathbf{Diag}_{\mathbb{Q}}^{rat} \subset \mathbf{Algmod}$,
2. $\mathbf{Diag}_{\mathbb{Q}}^{rat} \subset \mathbf{G-functions}$,
3. $\mathbf{Diag}_{\mathbb{Q}}^{rat} \subset \mathbf{Fs}^*$.

Proof. Let $f(t)$ be in $\mathbf{Diag}_{\mathbb{Q}}^{rat}$.

1. By 2 of Proposition 21, we get that $f(t) \in \mathbb{Z}_{(p)}[[t]]$ for almost all primes p . By assumption $f = \Delta_n(F)$ with $F \in \mathbb{Q}[[t_1, \dots, t_n]] \cap \mathbb{Q}(t_1, \dots, t_n)$. So, for almost all primes p , $F \in \mathbb{Z}_{(p)}(t_1, \dots, t_n)$. Since $f|_p = \Delta_n(F|_p)$, we conclude that, for almost all primes p , $f|_p(t) \in \mathbf{Diag}_{\mathbb{F}_p}^{rat}$. Then, by Theorem 19, we deduce that $f(t) \in \mathbf{Algmod}$.

2. It is shown in [10] that $f(t)$ is a solution of a nonzero differential operator $L \in \mathbb{Q}(t) \left[\frac{d}{dt} \right]$. Another proof is given in [20]. The condition (iii) is satisfied because $f(t)$ is globally bounded and the (ii) is also satisfied because the radius of convergence of $f(t)$ is not zero.

3. By [10], we know that $f(t)$ is solution of a Picard-Fuchs operator $L \in \mathbb{Q}(t) \left[\frac{d}{dt} \right]$. Then, according to [19, Theorem 22.1], L is equipped with a p -Frobenius structure for almost all primes p . Finally, 2 of Proposition 21 implies that $f(t) \in \mathbb{Z}_{(p)}[[t]]$ for almost all primes p . Hence $f(t) \in \mathbf{Fs}^*$. \square

For a G -function $f(t)$, we let S_f denote the set of primer number p such that $f(t) \in \mathbb{Z}_{(p)}[[t]]$. According to Theorem 22, if $f(t) \in \mathbf{Diag}_{\mathbb{Q}}^{rat}$ then $\mathcal{P} \setminus S_f$ is finite, where \mathcal{P} is the set of prime numbers. Deligne[11] proposed that the behaviour of $\deg(f|_p)$ with respect to $p \in S_f$ is polynomial in p . More precisely,

Deligne's question:(Deligne[11]) Let $f(t)$ be in $\mathbf{Diag}_{\mathbb{Q}}^{rat}$. Is there a constant $c > 0$ such that, for all $p \in S_f$, $\deg(f|_p) < p^c$?

In the particular case of the power series $f_2(t)$, we can take $c = 4$ because we have already seen that $\deg(f_{2|p}) \leq p^4$ for all $p > 2$. It was in 2013 that Adamczewski and Bell [1] gave an affirmative answer to this question. Further, it is observed that in many examples, Deligne's question has an affirmative answer for G -functions which are not diagonals. For example, the hypergeometric series

$$\mathfrak{h}(t) = \sum_{n \geq 0} \frac{(1/5)_n^2}{(2/7)_n n!} t^n$$

does not belong to $\mathbf{Diag}_{\mathbb{Q}}^{rat}$ because $\mathfrak{h}(t)$ is not N -integral. Nevertheless, $S_{\mathfrak{h}}$ is the set of prime numbers p such that $p = 1 \pmod{35}$ and we have $\deg(\mathfrak{h}|_p) \leq p$ for all $p \in S_{\mathfrak{h}}$.

The fact that Deligne's question has an affirmative answer for many G -functions that are not diagonals led Adamczewski and Delaygue to formulated the following conjecture

Conjecture 23. (*Adamczewski–Delaygue's conjecture*) Let $f(t)$ be a G -function such that S_f is infinite. Then:

- (i) $f|_p$ is algebraic over $\mathbb{F}_p(t)$ for almost all $p \in S_f$,
- (ii) there exists $c > 0$ such that, for all $p \in S_f$ verifying (i), $\deg(f|_p) < p^c$.

Thanks to Theorem 19 and [1], this conjecture is true when $f(t)$ belongs to $\mathbf{Diag}_{\mathbb{Q}}^{rat}$. Recently, this conjecture was proven for another interesting class of G -functions, namely, hypergeometric series ${}_nF_{n-1}$ with rational parameters.

3.2.2 Hypergeometric series ${}_nF_{n-1}$

Given two vectors $\alpha = (\alpha_1, \dots, \alpha_n)$ and $\beta = (\beta_1, \dots, \beta_{n-1}, 1)$ in $(\mathbb{Q} \setminus \mathbb{Z}_{\leq 0})^n$, the hypergeometric series with parameters α and β is the power series

$${}_nF_{n-1}(\alpha, \beta, t) = \sum_{j \geq 0} \mathcal{Q}_{\alpha, \beta}(j) t^j \text{ with } \mathcal{Q}_{\alpha, \beta}(j) = \frac{(\alpha_1)_j \cdots (\alpha_n)_j}{(\beta_1)_j \cdots (\beta_{n-1})_j j!},$$

where for a real number x and nonnegative integer j , $(x)_j$ is the Pochhammer symbol, that is, $(x)_0 = 1$ and $(x)_j = x(x+1) \cdots (x+j-1)$ for $j > 0$. We denote by $d_{\alpha, \beta}$ the least common multiple of the denominators

of $\alpha_1, \dots, \alpha_n$ and $\beta_1, \dots, \beta_{n-1}$ written in lowest form. It is well-known that ${}_nF_{n-1}(\alpha, \beta; z)$ is a solution of the hypergeometric operator

$$\mathcal{H}(\alpha, \beta) = \prod_{i=1}^n (\delta + \beta_i - 1) - z \prod_{i=1}^n (\delta + \alpha_i), \text{ with } \delta = z \frac{d}{dz}.$$

We put

$$\mathbf{HGS} = \{ {}_nF_{n-1}(\alpha, \beta, t) \mid \text{with } n > 0 \text{ and } \alpha, \beta \in (\mathbb{Q} \setminus \mathbb{Z}_{\leq 0})^n \}.$$

We have the following inclusion

Theorem 24. *HGS* \subset *G-function*.

Proof. Let $f(t) = {}_nF_{n-1}(\alpha, \beta, t)$ be in **HGS**. We know that $f(t)$ is solution of the differential operator $\mathcal{H}(\alpha, \beta)$. So the condition (i) is verified. Condition (ii) and (iii) follows from [4, Lemma 4.4 Chp I] and [15, Proposition 1.1 Chp VIII] \square

In [22], it was proven that the Adamcezwki-Delaygue's conjecture is true for a lot *G*-functions in **HGS**. To be more precise, we have

Theorem 25. ([22, Theorem 1.2]) *Let* $f(t) = {}_nF_{n-1}(\alpha, \beta, t) \in \mathbf{HGS}$ *such that* $1 \leq i, j \leq n$, $\alpha_i - \beta_j \notin \mathbb{Z}$ *and let* p *a prime number such that for all* $1 \leq i, j \leq n$, $|\alpha_i|_p \leq 1$ *and* $|\beta_j|_p \leq 1$. *If* $f(t) \in \mathbb{Z}_{(p)}[[t]]$ *then* $f|_p(t)$ *is algebraic over* $\mathbb{F}_p(t)$ *and* $\deg(f|_p) \leq p^{n^2 \varphi(d_{\alpha, \beta})}$, *where* φ *is the Euler's Totient function.*

Proof. We know that $f(t)$ is solution of the differential operator $\mathcal{H}(\alpha, \beta)$. Given that, for all $1 \leq i, j \leq n$, $|\alpha_i|_p \leq 1$, $|\beta_j|_p \leq 1$ and $\alpha_i - \beta_j \notin \mathbb{Z}$, it follows from **first session** that $\mathcal{H}(\alpha, \beta)$ is equipped with a p -adic Frobenius structure of period $\varphi(d_{\alpha, \beta})$. By assumption $f(t) \in \mathbb{Z}_{(p)}[[t]]$ and thus, by Theorem 16, $f|_p(t)$ is algebraic over $\mathbb{F}_p(t)$ and $\deg(f|_p) \leq p^{n^2 \varphi(d_{\alpha, \beta})}$. \square

Thanks to this theorem, the conjecture Adamcezwki-Delaygue's conjecture is true for any $f(t) \in \mathbf{HGS}_{rig}$, where

$$\mathbf{HGS}_{rig} = \{ {}_nF_{n-1}(\alpha, \beta, t) \mid \text{with } n > 0, \alpha, \beta \in (\mathbb{Q} \setminus \mathbb{Z}_{\leq 0})^n \text{ and } \forall 1 \leq i, j \leq n, \alpha_i - \beta_j \notin \mathbb{Z} \}.$$

We finish this section by showing the inclusion **Fs** \subset **G-functions**. In order to prove this inclusion, we recall the notion of the radius of convergence at generic point for a differential equation $L \in \mathbb{Q}(t) \left[\frac{d}{dt} \right]$. Let A be the companion matrix of L and let us consider the sequence of matrices $\{A_s\}_{s \geq 0}$, where A_0 is the identity and $A_1 = A$ and $A_{s+1} = \frac{d}{dt} A_s + A_s A$. So, the *radius of convergence of* L *at the generic point associated to* p *is the real number* $r_p(L)$ *defined as follows*

$$\frac{1}{r_p(L)} = \lim_{s \rightarrow \infty} \left\| \frac{A_s}{s!} \right\|_{\mathcal{G}, p}^{1/s}.$$

It is not hard to see that $r_p(L) > 0$. Moreover, according to Propositions 4.1.2, 4.6.4, 4.7.2 of [9], if L has a p -adic Frobenius structure then $r_p(L) = 1$.

Theorem 26. *Fs* \subset *G-functions*.

Proof. Let us take $f(t) \in \mathbf{Fs}$. Then $f(t)$ is solution of a differential operator L having p -adic Frobenius structure for almost all primes p . In particular, for almost all primes p , $r_p(L) = 1$. Therefore $\prod_p r_p(L) > 0$ and, by [4, Theorem C p.3], we conclude that $f(t)$ is a *G-function*. \square

4 Algebraic independence of G -functions

An interesting consequence of the algebraicity modulo p of G -functions is that, in many cases, it allows us to prove the transcendence and algebraic independence of G -functions. We recall that the power series $f_1(t), \dots, f_r(t) \in \mathbb{Q}[[t]]$ are *algebraically independent* over $\mathbb{Q}(t)$, if for any nonzero polynomial $P(Y_1, \dots, Y_n) \in \mathbb{Q}(t)[Y_1, \dots, Y_n]$, $P(f_1, \dots, f_n) \neq 0$. Otherwise, we say that $f_1(t), \dots, f_r(t)$ are *algebraically dependent* over $\mathbb{Q}(t)$.

It seems that Sharif and Woodcock were the first to use algebraicity modulo p to prove the transcendence of certain G -functions. Indeed, in 1989 they proved [21] that, for all integers $r \geq 2$,

$$f_r(z) = \sum_{n \geq 0} \binom{2n}{n}^r t^n$$

is transcendental over $\mathbb{Q}(t)$. Their strategy is based on the following lemma.

Lemma 27. *Let $f(t) \in \mathbb{Z}[[t]]$ be algebraic over $\mathbb{Q}(t)$. Then the sequence $\{deg(f_{|p})\}_{p \in \mathcal{P}}$ is bounded.*

Thus, if $f(t)$ is a power series with coefficients in \mathbb{Z} such that the sequence $\{deg(f_{|p})\}_{p \in \mathcal{P}}$ is not bounded then $f(t)$ is transcendental over $\mathbb{Q}(t)$. So, Sharif and Woodcock showed that, for all integers $r \geq 2$, the sequence $\{deg(f_{r|p})\}_{p \in \mathcal{P}}$ is not bounded. For do that, they used the fact $f_r(t)$ is p -Lucas for all primes p .

Definition 28. (*p -Lucas congruences*) *Let $f(t) = \sum_{n \geq 0} a_n t^n$ be a power series in $1 + t\mathbb{Q}[[t]]$. We say that $f(t)$ is p -Lucas if:*

- (i) $f(t)$ can be reduced modulo p , that is, $f(t) \in \mathbb{Z}_{(p)}[[t]]$
- (ii) the reduction modulo p of $f(t)$ satisfies the equality

$$f_{|p}(t) = \left(\sum_{i=0}^{p-1} (a_n \bmod p) t^i \right) f_{|p}(t)^p \quad (7)$$

For example, Gessel [17] proved that $\mathfrak{A}(t)$ is p -Lucas for all primes p . In problem session we are going to see that, for any $r \geq 1$, $f_r(t)$ is p -Lucas for all primes p .

In 1998, Allouche, Gouyou-Beauchamps and Skordev [3] generalized the approach introduced by Sharif and Woodcock by giving a criterion for when a power series that is p -Lucas for all primes p is algebraic over $\mathbb{Q}(t)$. Their result reads as follows

Theorem 29. *Let $f(t)$ be in $\mathbb{Z}[[t]]$. Suppose that $f(t)$ is p -Lucas for almost all primes p . Then $f(t)$ is algebraic over $\mathbb{Q}(t)$ if and only if there is a polynomial $P(t) \in 1 + t\mathbb{Q}[t]$ the degree less than or equal to 2 such that $f(t) = P(t)^{-1/2}$.*

As a consequence of this result we can show that $\mathfrak{A}(t)$ is transcendental over $\mathbb{Q}(t)$. Let us suppose by contradiction that $\mathfrak{A}(t)$ is algebraic over $\mathbb{Q}(t)$. Given that $\mathfrak{A}(t)$ is p -Lucas for all primes p then there is a polynomial $P(t) \in 1 + t\mathbb{Q}[t]$ the degree less than or equal to 2 such that $\mathfrak{A}(t) = P(t)^{-1/2}$. In particular, $\mathfrak{A}(t)$ is solution of the differential operator

$$P(t) \frac{d}{dt} + \frac{1}{2} P'(t).$$

But, it is well-known that the minimal differential operator for $\mathfrak{A}(t)$ over $\mathbb{Q}(t)$ is given by

$$(1 - 34t + t^2)t^2 \frac{d}{dt^3} + (3 - 153t + 6t^2)t \frac{d}{dt^2} + (1 - 112t + 7t^2) \frac{d}{dt} - 5 + t.$$

Therefore, $\mathfrak{A}(t)$ is transcendental over $\mathbb{Q}(t)$. We can also use Theorem 29 to prove that $f_r(t)$ is transcendental over $\mathbb{Q}(t)$ for $r > 1$ given that $f_r(t)$ is p -Lucas for all primes p and its minimal differential operator over $\mathbb{Q}(t)$ is given by

$$\delta^r - t(\delta + 1/2)^r.$$

Recently, Adamczewski, Bell and Delaygue show how to use the relation (7) to study the algebraic independence of some power series. For this purpose, they introduce the set $\mathcal{L}(S)$, where S is a set of prime numbers.

Definition 30. Let S be a set of prime numbers. The set $\mathcal{L}(S)$ is the set of power series $f(t) \in 1 + t\mathbb{Q}[[t]]$ such that for every $p \in S$:

(i) $f(t) \in \mathbb{Z}_{(p)}[[t]]$,

(ii) there are polynomials $A_p(t), B_p(t) \in \mathbb{F}_p(t)$ and a positive integer l such that

$$f_{|p}(t) = \frac{A_p(t)}{B_p(t)} f_{|p}(t)^{p^l},$$

(iii) the degrees of $A_p(t)$ and $B_p(t)$ are less than Cp^l , where C is constant that does not depend on p .

Remark 31. Let S be a set of prime numbers. If $f(t) = \sum_{n \geq 0} a_n t^n$ is p -Lucas for all $p \in S$ then $f(t) \in \mathcal{L}(S)$. Indeed, for every $p \in S$, $f(t) \in \mathbb{Z}_{(p)}[[t]]$ and

$$f_{|p}(t) = A_p(t) f_{|p}(t)^p \text{ with } A_p(t) = \sum_{n=0}^{p-1} a_{(n \bmod p)} t^n.$$

It is clear that the degree of $A_p(t)$ is less than p .

In [2], Adamczewski, Bell and Delaygue prove the following result

Theorem 32. Let S be an infinite set of prime numbers and let $f_1(t), \dots, f_r(t) \in \mathcal{L}(S)$. Then, $f_1(t), \dots, f_r(t)$ are algebraically dependent over $\mathbb{Q}(t)$ if and only if there are $a_1, \dots, a_r \in \mathbb{Z}$ not all zero such that

$$f_1(t)^{a_1} \cdots f_r(t)^{a_r} \in \mathbb{Q}(t).$$

By applying this theorem, the authors prove that all elements of the set $\{f_r(t)\}_{r \geq 2}$ are algebraically independent over $\mathbb{C}(t)$ (see [2, Theorem 2.1]). In the same work they also show that many G -functions are p -Lucas for infinitely many primes p . In order to do that, they study in detail the p -adic valuation of the coefficients of the hypergeometric series and the power series obtained as specialization of factorial ratios. Furthermore, in [23] the question of determining when a power series belongs to $\mathcal{L}(S)$ for an infinite set S of prime numbers is addressed from the point of view of the p -adic Frobenius structure.

Before stating the main result of [23], we recall that $D \in \mathbb{Q}(t)[\frac{d}{dt}]$ is *MUM at zero* if zero is a regular singular point of D and the exponents at zero of D are all zero. Finally, we recall that Cartier operator associated to p is the \mathbb{Q} -linear map $\Lambda_p : \mathbb{Q}[[t]] \rightarrow \mathbb{Q}[[t]]$ defined as follows $\Lambda_p(\sum_{n \geq 0} a_n t^n) = \sum_{n \geq 0} a_{np} t^n$.

Theorem 33. Let $f(t) = \sum_{n \geq 0} a_n t^n$ be in \mathbf{Fs}^* and let \mathcal{S}_f be the set of prime numbers p such that $f(t) \in \mathbb{Z}_{(p)}[[t]]$. Suppose that $f(t)$ is solution of a differential operator $D \in \mathbb{Q}(t)[\frac{d}{dt}]$ that is *MUM at zero*. Then:

1. there exist a constant $C > 0$ and a set $\mathcal{S}' \subset \mathcal{S}_f$ such that $\mathcal{S}_f \setminus \mathcal{S}'$ is finite and, for all $p \in \mathcal{S}'$,

$$f_{|p}(t) = \frac{A_p(t)}{B_p(t)} f_{|p}(t)^{p^l},$$

where $A_p(t), B_p(t)$ belong to $\mathbb{F}_p[t]$ and their degrees are bounded by Cp^{2l} .

2. Moreover, if for all $p \in \mathcal{S}_f$, $\Lambda_p(f_{|p}) = f_{|p}$ then $f(t) \in \mathcal{L}(\mathcal{S}')$, where $\mathcal{S}' \subset \mathcal{S}_f$ and $\mathcal{S}_f \setminus \mathcal{S}'$ is finite.

Theorem 33 is used in [23] to prove that a big class of G -functions are in $\mathcal{L}(S)$, where $\mathcal{P} \setminus \mathcal{S}$ is finite. Mainly, the author uses this theorem to show that amongst the 400 power series appearing in [5] there are 242 that belong to $\mathcal{L}(S)$. Actually, according to some standard conjectures, it is expected that all power series in [5] belong to \mathbf{Fs}^* .

In [23] it is also shown that some hypergeometric series do not belong to $\mathcal{L}(S)$ for any infinite set S of prime numbers. For any $r \geq 1$, we consider the hypergeometric series

$$\mathfrak{g}_r(t) = \sum_{n \geq 0} \frac{-1}{2n-1} \binom{2n}{n}^r t^n \in 1 + t\mathbb{Z}[[t]].$$

It is easy to check that $\mathfrak{g}_r(t)$ is not p -Lucas for any $p > 2$. Further, in [23] it is shown that if \mathcal{S} is an infinite set of prime numbers then $\mathfrak{g}_2(t) \notin \mathcal{L}(\mathcal{S})$. The arguments given in [23] lead us to think that the same situation is true for $\mathfrak{g}_r(t)$ with $r > 2$. However, for any $r \geq 1$ the hypergeometric series $\mathfrak{g}_r(t)$ satisfies the assumptions of Theorem 33 because $\mathfrak{g}_r(t)$ is solution of the hypergeometric operator

$$\delta^r - t(\delta - 1/2)(\delta + 1/2)^{r-1}.$$

It is clear that this operator is MUM at zero and, according to the **first session**, has a p -adic Frobenius structure for all $p > 2$.

Consequently, we are not able to apply Theorem 32 to the set $\{\mathfrak{g}_r(t)\}_{r \geq 2}$. So, this raises the problem of giving an algebraic independence criterion for the power series that verify the assumptions of Theorem 33. Even if we do not yet have of such a criterion, it is shown in [23] the following results.

Theorem 34. (i) All elements of the set $\{\mathfrak{g}_r(t)\}_{r \geq 2}$ are algebraically independent over $\mathbb{Q}(t)$.

(ii) The power series $\mathfrak{g}_2(t)$ and $\mathfrak{A}(t)$ are algebraically independent over $\mathbb{Q}(t)$.

5 Exercises

The goal of this problem session is to prove that $\mathfrak{f}_r(t) = \sum_{n \geq 0} \binom{2n}{n}^r t^n$ is transcendental over $\mathbb{Q}(t)$ following the approach given by Sharif and Woodcock.

5.1 Diagonals

- (i) Given two power series $f(t) = \sum_{n \geq 0} a_n t^n$ and $g(t) = \sum_{n \geq 0} b_n t^n$, the *Hadamard product* of $f(t)$ and $g(t)$ is defined as follows:

$$f(t) \star g(t) = \sum_{n \geq 0} a_n b_n t^n.$$

Prove that if $f(t)$ and $g(t)$ belong to \mathbf{Diag}_K^{rat} then $f(t) \star g(t)$ belongs to \mathbf{Diag}_K^{rat} . Conclude that, for all $r \geq 1$, $\mathfrak{f}_r(t)$ belongs to $\mathbf{Diag}_{\mathbb{Q}}^{rat}$.

5.2 Algebraicity modulo p

According to Theorems 13 and 16, $\mathfrak{f}_{|p}^r(t)$ is algebraic modulo p for all $p > 2$ and $\deg(\mathfrak{f}_{|p}^r) \leq p^{r^2}$. In this exercise we are going to prove that $\mathfrak{f}_r(t)$ is p -Lucas for all primes p .

- (ii) Lucas' Theorem. Let p be a prime number and $n = \sum_{i=0}^s n_i p^i$, $m = \sum_{i=0}^s m_i p^i$ be the p -adic expansion of $n, m \in \mathbb{N}$. Prove that

$$\binom{n}{m} = \prod_{i=0}^s \binom{n_i}{m_i} \pmod{p}.$$

- (iii) Prove that

$$\binom{ap+s}{bp+t} = \binom{a}{b} \binom{r}{s} \pmod{p}$$

for any $a, b \in \mathbb{N}$ and any $0 \leq t, s < p$.

- (iv) Let $p > 2$. Prove that

$$\binom{2(np+m)}{np+m} \equiv \begin{cases} \binom{2n}{n}^r \binom{2m}{m}^r \pmod{p} & \text{si } m \in \{0, 1, \dots, (p-1)/2\} \\ 0 \pmod{p} & \text{si } m \in \{(p+1)/2, \dots, p-1\}. \end{cases}$$

- (v) Conclude that $\mathfrak{f}_r(t)$ is p -Lucas for all primes p and that $P_r(\mathfrak{f}_{|p}^r) = 0$ where

$$P_r(Y) = Y^{p-1} - \sum_{n=0}^{(p-1)/2} \left(\binom{2n}{n} \pmod{p} \right) t^n$$

5.3 Transcendence

- (vi) Prove Lemma 27.
- (vii) Let $N > 0$ be a natural number. Then there exist infinitely many primes p such that if a divides $p - 1$ then $a = 1, 2$ or $a > N$.
- (viii) Let $N > 0$ be a natural number and let $r \geq 2$. Then there exists a prime number p such that $\deg(\mathfrak{f}_{r|p}) > N$.
 Hint: Let $a = [K : \mathbb{F}_p(t)]$, where K is the splitting field of $P_r(Y)$. Prove that a divides $p - 1$ and that $\deg(\mathfrak{f}_{r|p})$ divides a .

In the previous approach, the fact that $\mathfrak{f}_r(t)$ is p -Lucas for all $p > 2$ is crucial for proving the transcendence of $\mathfrak{f}_r(t)$, $r \geq 2$. However, in some cases we can prove transcendence without assuming p -Lucas condition. For every $r \geq 1$, we consider the hypergeometric series

$$\mathfrak{g}_r(t) = \sum_{n \geq 0} \frac{-1}{2n-1} \binom{2n}{n}^r t^n \in 1 + t\mathbb{Z}[[t]].$$

- (ix) Prove that $\mathfrak{g}_1(t)$ is algebraic.
- (x) Prove that, for all $r \geq 1$, $\mathfrak{g}_r(t)$ is not p -Lucas for any $p > 2$.
- (xi) Prove that, for all $p > 2$, $\mathfrak{g}_r(t) = A_p(z)\mathfrak{f}_r(t)^p$, where $A_p(t) \in \mathbb{F}_p[t]$ has degree less than p .
- (xii) Prove that, for all $p > 2$, $\deg(\mathfrak{g}_{r|p}) = \deg(\mathfrak{f}_{r|p})$.
- (xiii) Conclude that $\mathfrak{g}_r(t)$ is transcendental over $\mathbb{Q}(t)$ for $r > 1$.

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